

UNITED STATES DISTRICT COURT
FOR THE
WESTERN DISTRICT OF NEW YORK

SECURITIES & EXCHANGE)
COMMISSION,)
Plaintiff,)
)
v.)
)
GRENDAGROUP, LLC and)
GREGORY M. GRENDAGROUP,)
Defendants.)



Case No. 1:18-cv-954

VERDICT FORM

1. Has the SEC proven by a preponderance of the evidence that Grenda Group, LLC or Gregory M. Grenda violated Section 206(1) of the Advisers Act?

a. Grenda Group, LLC

Yes ✓ No _____

b. Gregory M. Grenda

Yes ✓ No _____

2. Has the SEC proven by a preponderance of the evidence that Grenda Group, LLC or Gregory M. Grenda violated Section 206(2) of the Advisers Act?

a. Grenda Group, LLC

Yes ✓ No _____

b. Gregory M. Grenda

Yes ✓ No _____

3. Has the SEC proven by a preponderance of the evidence that Gregory M. Grenda aided and abetted a violation by Grenda Group, LLC of:

a. Section 206(1) of the Advisers Act?

Yes ✓ No _____

b. Section 206(2) of the Advisers Act?

Yes ✓ No _____

SO SAY WE ALL.

Foreperson

12/10/21
Date